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# APPLICABILITY OF DYNAMICAL STATISTICAL DOWNSCALING TO WIND PREDICTION ALONG RAILWAY

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#### ABSTRACT

The applicability of Dynamical Statistical Downscaling Procedure to wind prediction along railway tracks was examined. By combining a mesoscale wind climate database with a non-linear flow simulation model, MASCOT, the prediction of the wind climate at any sites along the railway track in Japan was made possible. The predicted results showed better agreements with the measurement than the results by simply using the mesoscale wind climate database and an empirical formula. Considering the effects of the surrounding structures by the wind tunnel test improved the prediction accuracy.

#### KEYWORDS: DISASTER PREVENTION FOR RAILWAY, WIND CLIMATE ASSESSMENT, DYNAMICAL STATISTICAL DOWNSCALING PROCEDURE, WIND TUNNEL TEST

#### Introduction

In order to improve the safety level of train for cross wind that might cause derailment or turnover of the train with maintaining the punctuality of train schedules, the train operation should be regulated appropriately and countermeasures such as the installation of wind fences at strong wind prone sites should be conducted reasonably. It is important to specify the location and frequency of the strong wind along the railway tracks. The onsite measurement at certain places has been widely used for this purpose. However, it has limitation that it cannot measure the wind where an anemometer is not installed. Methods are, therefore, required to assess the spatial distribution of the strong wind events along the railway tracks.

A non-linear flow simulation model, MASCOT (Microclimate Analysis System for COmplex Terrain) was developed by Ishihara *et al.* (2003) to predict micro-scale wind climates on complex and steep terrain. In order to assess local wind climate without using onsite measurement, Yamaguchi *et al.* (2003) proposed Dynamical Statistical Downscaling Procedure based on the Idealizing and Realizing Approach with MASCOT. This procedure was developed for wind energy applications in which the main interest is wind speed at the hub-height such as 70m above ground. For railway applications, however, wind speed at lower height such as 5m is required, where the wind would be more strongly affected by surrounding obstacles.

In this study, the applicability of Dynamical Statistical Downscaling to wind assessment along railway for the height of 5m above ground was verified using the measured

wind speed. In addition, the effects of the surrounding structures such as embankments and wind fences that cannot be considered by the resolution of MASCOT was examined by the wind tunnel test to improve the prediction accuracy.

#### **Measurement sites**

In order to verify the accuracy of the proposed climate assessment method, the prediction results were compared with the measured wind speed and direction at three sites, near Kurihashi station on the JR Tohoku line. The map of the measurement sites are shown in Figure 1, and summarized in Table 1.

# Empirical model for wind prediction

In this section, the applicability of the mesoscale wind climate database to assess the wind climate near the ground surface by using the empirical model is investigated. The outline of the mesoscale wind climate database is described in Table 2. It provides the frequency distribution of wind speed for each 12 wind speed bins and 16 wind direction sectors at 30m, 50m and 70m above ground with the horizontal



Figure 1. Schematic map of measured points

Table 1. Summary of the examined ponts

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Site	Structure	Height[m]	Fences	Height of Fence [m]
1	Embankment	7.83	Exist	3
2	Bridge	9.77	Exist	3
3	Embankment	2.34	No	-

Table 2. The mesoscale wind climate database

Number and interval of the bins	12 bins with 1m/s interval
Number of wind direction sectors	16
Horizontal resolution	500m
Available height	30m, 50m, 70m

Table 3. Correction factors for empilical model

Structure	If it exist
Embankment ( $F_e$ )	$F_e = f(C_1, C_2, C_3, H)$
$\operatorname{Cut}(F_c)$	0.9
Wind Prevention Forest $(F_f)$	0.9

resolution of 500m. Since wind climate at the height of 5m is needed for the railway applications, the wind speed profile was assumed to follow the power law and the power exponent was assumed to be a function of surrounding surface roughness. In addition to the height correction, the correction factors for embankment, cut or wind prevention forest were also considered. The wind prediction model can be written as

$$U = U_0 E F_e F_c F_f$$

(1)

where  $U_0$  is the wind speed in the mesoscale wind climate database at 30m above ground, E is the height correction factor based on the power law.  $F_e$ ,  $F_c$  and  $F_f$  are the correction factor of embankment, cut or vegetation as shown in Table 3.

The correction factor for the embankment  $F_e$ , was calculated by empirical formula for small terrain [Architectural Institute of Japan 2004] as.

$$F_e = (C_1 - 1) \left\{ C_2 \left( \frac{5}{H} - C_3 \right) + 1 \right\} \exp \left\{ -C_2 \left( \frac{5}{H} - C_3 \right) \right\} + 1$$
(2)

where  $C_1$ ,  $C_2$  and  $C_3$  are the model parameters as functions of height, scale and slope of the terrain, the detail of which is described in [Architectural Institute of Japan 2004]. The

correction factor for the cut  $F_c$  and the wind prevention forest  $F_f$  are based on [ECCS 1978] and [Tani 1974].

#### Wind prediction model based on Dynamical Statistical Downscaling

One of the limitations of the use of the mesoscale wind climate database is that it is based on the mesoscale meteorological simulation with the horizontal resolution of 500m, which means that it cannot take the effect of microscale terrains into account. In order to include this effect, Dynamical Statistical Downscaling Procedure (DSDP) based on Idealizing and Realizing Approach (IRA) [Yamaguchi *et al.* 2003] with a non-linear flow simulation model, MASCOT was carried out. It assumes a wind speed profile at the inflow boundary condition, where the terrain is assumed to be flat and have constant roughness length, and calculate the relative wind speed and change of the wind direction over the terrain. This calculation is iterated for all the wind directions.

Wind speed near the ground surface is affected by microscale terrain, surrounding surface roughness, surrounding buildings, structures along railway, and wind break facilities. The affected wind speed can written as

$$U = U_0 C_t C_s C_b$$

(3)

where  $U_0$  is the wind speed in the mesoscale wind climate database at 30m above ground,  $C_t$  is a correction factor related to the effect of microscale terrain, surrounding surface roughness,

and surrounding buildings.  $C_s$  is a correction factor related to the effect of a structure along the railway, and  $C_b$  is that of wind break facilities as shown in Table 4.  $C_t$  can be derived by the Dynamical Statistical Downscaling Procedure with MASCOT, and  $C_s$  and  $C_b$  can be derived by wind tunnel test.

Tal	ble 4.	Correc	tion 1	factors	for	pro	posed	mod	el	

Factor	Effect			
$C_t$	microscale terrain,			
	surrounding surface roughness,			
	and surrounding buildings			
$C_s$	structure along the railway			
$C_b$	wind break facilities			

#### A non-linear flow simulation model, MASCOT

In order to predict microscale wind climates on complex and steep terrain, a nonlinear flow simulation model, MASCOT (Microclimate Analysis System for COmplex Terrain) was developed by Ishihara *et al.* (2003) MASCOT is based on Computational Fluid Dynamics (CFD) and solves Navier-Stokes equations numerically by assuming k- $\varepsilon$  turbulence model. The governing equations of this model are as follows:

$$\frac{\partial \rho}{\partial t} + \frac{\partial \rho \overline{u}_j}{\partial x_j} = 0 \tag{4}$$

$$\frac{\partial \rho \overline{u}_i}{\partial t} + \frac{\partial \rho \overline{u}_j \overline{u}_i}{\partial x_i} = -\frac{\partial \overline{p}}{\partial x_i} + \frac{\partial}{\partial x_i} (\mu \frac{\partial \overline{u}_i}{\partial x_i} - \rho \overline{u'_i u'_j})$$
(5)

where  $\overline{u}_i$  and  $u'_i$  are the average wind speed and fluctuating wind speed in the  $x_i$  direction.  $\overline{p}$  is the pressure,  $\rho$  is the density, and  $\mu$  is the viscosity coefficient

Reynolds stress  $-\rho \overline{u'_i u'_i}$  can be approximated by the linear eddy viscosity model.

$$\rho \overline{u'_i u'_j} = \frac{2}{3} \rho k \delta_{ij} - \mu_t \left( \frac{\partial u_i}{\partial x_j} + \frac{\partial u_j}{\partial x_i} \right)$$
(6)

Turbulent viscosity coefficient  $\mu_t$  can be expressed using turbulent energy k and the rate of turbulent energy dissipation  $\varepsilon$ , which can be obtained by following equations.

$$\mu_{t} = C_{\mu}\rho \frac{k^{2}}{\varepsilon}$$
<sup>(7)</sup>

$$\frac{\partial \rho k}{\partial t} + \frac{\partial \rho \overline{u}_j k}{\partial x_j} = \frac{\partial}{\partial x_j} \left[ (\mu + \frac{\mu_i}{\sigma_k}) \frac{\partial k}{\partial x_j} \right] - \rho \overline{u'_i u'_j} \frac{\partial \overline{u}_i}{\partial x_j} - \rho \varepsilon$$
(8)

$$\frac{\partial \rho \varepsilon}{\partial t} + \frac{\partial \rho \overline{u}_j \varepsilon}{\partial x_j} = \frac{\partial}{\partial x_j} \left[ (\mu + \frac{\mu_t}{\sigma_{\varepsilon}}) \frac{\partial \varepsilon}{\partial x_j} \right] - C_{\varepsilon 1} \frac{\varepsilon}{k} \rho \overline{u'_i u'_j} \frac{\partial \overline{u}_i}{\partial x_j} - C_{\varepsilon 2} \frac{\rho \varepsilon^2}{k}$$
(9)

The constants  $C_{\mu}$ ,  $C_{\varepsilon 1}$ ,  $C_{\varepsilon 2}$ ,  $\sigma_k$ , and  $\sigma_{\varepsilon}$  are assigned to standard values 0.09, 1.44, 1.92, 1.0, and 1.3 respectively. The outline of MASCOT that used in this study is shown in Table 5.

Figure 2 shows the computational domain used in MASCOT. An analytical domain is set including a target domain which is a square with a side 10km. An additional domain is also set at upwind of the analytical domain and buffer zones are laid all around these domains.

Table 6 shows calculation conditions of MASCOT. In order to improve the accuracy of the calculation at a target site, finer meshes are used in the target domain.

Table 5. The outline of MASCOT					
Coordinate	Non-orthogonal				
Discretization Method	Finite volume				
Numerical Scheme	SIMPLE				
Turbulent Model	k- ε model				

#### Idealizing and Realizing Approach

In order to apply MASCOT to climate assessment appropriately, it needs to introduce Idealizing and Realizing Approach (IRA). Figure 3 shows the concept of IRA. The mesoscale wind climate was first idealized to the virtual wind climate over virtual flat terrain to exclude the terrain effect using the result of numerical simulation over rough terrain (Figure 3(a)). Then virtual wind climate was realized to the microscale wind climate by including the effect of microscale terrain using the result of numerical simulation over fine terrain (Figure 3(b)). By this approach, correction factor  $C_t$  can be estimated.





Figure 2. Computational domain

Figure 3. The concept of IRA

Number of wind d	16		
Resolution of Numerical	wide area	50m	
Terrain Map narrow area		10m	
Resolution of the Map of	Resolution of the Map of Surface Roughness		
Analytical domain Horizontal $X \times Y$		$10,000 \text{m} \times 10,000 \text{m}$	
	1,500m		
Area of the min	3,000m ×3,000m		
The minimum interval of	The minimum interval of Horizontal		
mesh	Perpendicular	5m	
The maximum in	200m		

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# The Wind Tunnel Test

Since the railway structures such as wind fences, embankments, and bridges cannot be considered in the numerical simulation even with minimum resolution, appropriate consideration of the structures could improve the wind prediction. Thus, the effects of the wind fences, the embankment and the bridge on the wind speed were evaluated by wind tunnel tests, and measured wind speed ratios were considered in addition to the non-linear flow simulations. Figure 4 and Table 7 show the wind tunnel and the conditions of the test. One of the measurement sites, the site 1, is a



Fig. 4. The wind tunnel

	Table 7.	Wind	tunnel	test
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Wind	Width	1.5m		
tunnel	Height	1.8m		
Wind	Scale	1/40		
wind tunnel	Wind Speed Profile	Power law $\alpha = 1/7$		
test	Wind speed	9 m/s		
test	Measurement sensor	X-wire		

relatively high embankment with wind fences, and the site 2 is a bridge with fence. Figure 5 and Figure 6 show the cross sections of models of these structures.

Measured wind speed ratio by the wind tunnel test with respect to the site 1 and the site 2 are shown in Figure 7. From Figure 7(a), it can be said that the winds are accelerated by the embankment. In addition, the fence lies on the East South East direction of the railway can work effectively to prevent the wind, although the wind from the West North West direction has few effect of the wind fence because the anemometer was installed just above the fence. It is also found from Figure7(b) that the effects of the wind fences on the bridge are similar to that on the embankment. By these tests, correction factors  $C_s$  and  $C_b$  can be estimated.



Figure 5. Shape of the cross section of embankment at Site 1



Figure 6. Shape of bridge at Site 2



Figure 7. The effect of the structures and the wind fences (site1 and site 2)

#### Verification of the proposed wind prediction model

In order to verify the wind prediction models, the results of the proposed model were compared with the empirical model and the measured wind speeds at the sites. The estimated frequency distributions of the wind speed and the annual average wind speeds by directions for each site are shown in Figure 8, 9, and 10. The annual mean wind speeds and prediction errors are shown in Table 8.

At the site 3, where there is no remarkable structure, the predicted frequency distributions and annual average wind speeds by the proposed model are in good agreement with the measurement, and the prediction error is 7%. This is because that Dynamical Statistical Downscaling Procedure can consider the small scale terrain around the site.

Because of the existence of the wind fences, the embankment, and bridge, the prediction results by Dynamical Statistical Downscaling Procedure at the site 1 and the site 2 still overestimate the wind speeds. By considering the effects of these obstacles using the results of the wind tunnel test, the estimated wind climate at the site 1 and the site 2 show better agreement with the measurement. The prediction errors of annual mean wind speed at the site 1 and the site 2 are 58% and 46% respectively. One of the reasons of the inconsistencies can be the effects of surrounding buildings and vegetations.

	Annual average wind speed					
	Sit	te1	Sit	e 2	Site 3	
	[m/s]	error[%]	[m/s]	error[%]	[m/s]	error[%]
Measurement	1.60	—	2.20	_	2.24	—
Mesoscale database + empirical model	3.96	148%	3.26	49%	3.06	37%
Mesoscale database +DSDP with MASCOT	2.95	84%	3.61	64%	2.39	7%
Mesoscale database + DSDP with MASCOT + Wind tunnel test	2.52	58%	3.21	46%	_	_

Table 8. Average wind speed of observations and estimation results



Figure 8. Frequency distributions of wind speed and annual mean wind speed by directions (site 1)



Figure 9. Frequency distributions of wind speed and annual mean wind speed by directions (site 2)



Figure 10. Frequency distributions of wind speed and annual mean wind speed by directions (site 3)

### Conclusions

In this study, the applicability of Dynamical Statistical Downscaling Procedure to the wind prediction along railway was investigated. Following results were obtained.

- 1. By Dynamical Statistical Downscaling Procedure, the prediction of the wind climate at any sites along the railway track in Japan was made possible. The predicted result especially at the site 3, where there is no significant large structure such as embankment, bridge, and wind fences, shows better agreement with the measurement than simply using the mesoscale wind climate database and the empirical formula. Prediction error of annual mean wind speed at the site 3 is 6.7%.
- 2. By considering the effects of the surrounding obstacles, like embankment, bridge, and fences, by the wind tunnel test, the prediction accuracy was improved. The prediction errors of annual mean wind speed at the site 1 and the site 2 can be reduced to 58% and 46% from 84% and 64% respectively.
- 3. One of the reasons of the inconsistencies between the measurement and the prediction at the site 1 and site 2 can be the effects of surrounding buildings and vegetations.

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